

UNITED STATES DISTRICT COURT

District of Massachusetts

ROBERT FAUSSNER, Individually
and On Behalf of All Others
Similarly Situated

SUMMONS IN A CIVIL ACTION

V.

INVESTORS FINANCIAL SERVICES CORP.,
KEVIN J. SHEEHAN, MICHAEL F. ROGERS,
JOHN N. SPINNEY, JR., ROBERT D.
MANCUSO, EDMUND J. MARONEY

CASE NUMBER:

05 - 11687 RCL

TO: (Name and address of Defendant)

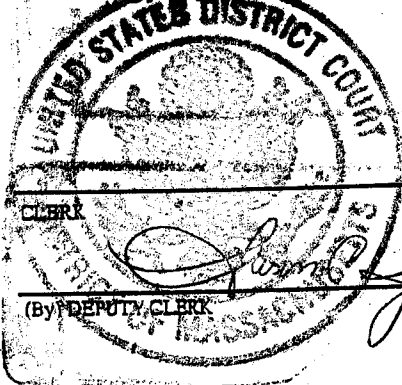
Michael F. Rogers, President
Investors Financial Services Corp.
200 Clarendon Street
Boston, MA

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David Pastor
GILMAN AND PASTOR, LLP
60 State Street
37th Floor
Boston, MA 02109

an answer to the complaint which is served on you with this summons, within 20 days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

SARALLA THORNTON



DATE

8-15 05

AO 440 (Rev. 8/01) Summons in a Civil Action

RETURN OF SERVICE

Service of the Summons and complaint was made by me ⁽¹⁾	DATE
NAME OF SERVER (PRINT)	TITLE

Check one box below to indicate appropriate method of service

- ☐ Served personally upon the defendant. Place where served:
- ☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein.
Name of person with whom the summons and complaint were left:
- ☐ Returned unexecuted:
- ☐ Other (specify):

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL \$0.00
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DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____ Date _____ Signature of Server _____

Address of Server

Deputy Sheriff

Deputy Sheriff Daniel Murray

I hereby certify and return that on 10/14/2005 at 10:55AM I served a true and attested copy of the summons and complaint in this action in the following manner: To wit, by delivering in hand to Andrew Josef, Deputy General Counsel, agent at the time of service for Michael F. Rogers, President, Investors Financial Services Corp., 200 Clarendon Street, Boston, MA. U.S. District Court Fee (\$5.00), Basic Service Fee (IH) (\$30.00), Postage and Handling (\$1.00), Attest/Copies (\$5.00) Total Charges \$41.00

Suffolk, ss.



Suffolk County Sheriff's Department • 45 Bromfield Street • Boston, MA 02108 • (617) 989-6999

050 23835

UNITED STATES DISTRICT COURT

District of Massachusetts

ROBERT FAUSSNER, Individually
and On Behalf of All Others
Similarly Situated

SUMMONS IN A CIVIL ACTION

V.

INVESTORS FINANCIAL SERVICES CORP.,
KEVIN J. SHEEHAN, MICHAEL F. ROGERS,
JOHN N. SPINNEY JR., ROBERT D.
MANCUSO, EDMUND J. MARONEY

CASE NUMBER:

05 - 11687 RCL

TO: (Name and address of Defendant) Investors Financial Services Corp.
200 Clarendon Street
Boston, MA

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David Pastor
GILMAN AND PASTOR, LLP
60 State Street
37th Floor
Boston, MA 02109

an answer to the complaint which is served on you with this summons, within 20 days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.



CLERK

(By)

DATE

8-15-05

RETURN OF SERVICE

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STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL \$0.00
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DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____
Date_____
Signature of Server_____
Address of Server

05023842

October 21, 2005
I hereby certify and return that on 10/14/2005 at 10:55AM I served a true and attested copy of the Summons and Complaint in the following manner:
To wit, by delivering in hand to Andrew Joseph, Deputy General Counsel, agent at the time of service for Investors Financial Services Corp., at 200 Clarendon Street, Boston, MA. U.S. District Court Fee (\$5.00), Basic Service Fee (IH) (\$30.00), Postage and Handling (\$1.00), Attest/Copies (\$5.00) Total Charges \$41.00

Deputy Sheriff Daniel Murray

Deputy Sheriff



Suffolk, ss.

Suffolk County Sheriff's Department • 45 Bromfield Street • Boston, MA 02108 • (617) 989-6999

UNITED STATES DISTRICT COURT

District of Massachusetts

ROBERT FAUSSNER, Individually
and On Behalf of All Others
Similarly Situated

V.

INVESTORS FINANCIAL SERVICES CORP.,
KEVIN J. SHEEHAN, MICHAEL F. ROGERS,
JOHN N. SPINNEY, JR., ROBERT D.
MANCUSO, EDMUND J. MARONEY

SUMMONS IN A CIVIL ACTION

CASE NUMBER
05-11687 RCL

TO: (Name and address of Defendant) John N. Spinney Jr., Senior Vice President of
Marketing and Client Management
Investors Financial Services Corp.
200 Clarendon Street
Boston, MA 02109

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David Pastor
GILMAN AND PASTOR, LLP
60 State Street
37th Floor
Boston, MA 02109

an answer to the complaint which is served on you with this summons, within 20 days after service
of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you
for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the
Clerk of this Court within a reasonable period of time after service.

UNITED STATES DISTRICT COURT
SARAH A. THORNTON

CLERK

(By) DEPUTY CLERK

DATE

8-15-05

SAO 440 (Rev. 8/01) Summons in a Civil Action

RETURN OF SERVICE

Service of the Summons and complaint was made by me ⁽¹⁾	DATE
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- ☐ Other (specify):

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL \$0.00
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DECLARATION OF SERVER

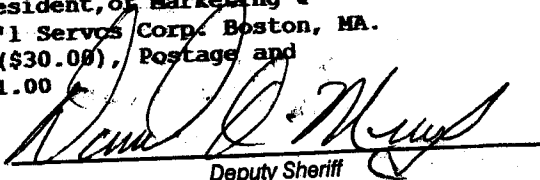
I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____
Date_____
Signature of Server_____
Address of Server

Suffolk County Sheriff's Department • 45 Bromfield Street • Boston, MA 02108 • (617) 989-6999
Suffolk, ss.

October 21, 2005

I hereby certify and return that on 10/14/2005 at 10:55AM I served a true and attested copy of the Summons and Complaint in this action in the following manner: To wit, by delivering in hand to Andrew Josef, Deputy General Counsel, agent at the time of service for John N. Spinney, Jr. Senior Vice President, of Marketing & Client Management, 200 Clarendon Street, Investors Fin'l Servcs Corp. Boston, MA. U.S. District Court Fee (\$5.00), Basic Service Fee (1H) (\$30.00), Postage and Handling (\$1.00), Attest/Copies (\$5.00) Total Charges \$41.00


Deputy Sheriff

Deputy Sheriff Daniel Murray

05023537

UNITED STATES DISTRICT COURT

District of Massachusetts

ROBERT FAUSSNER, Individually
and On Behalf of All Others
Similarly Situated

SUMMONS IN A CIVIL ACTION

V.

INVESTORS FINANCIAL SERVICES CORP.,
KEVIN J. SHEEHAN, MICHAEL F. ROGERS,
JOHN N. SPINNEY, JR., ROBERT D.
MANCUSO, EDMUND J. MARONEY

CASE NUMBER:

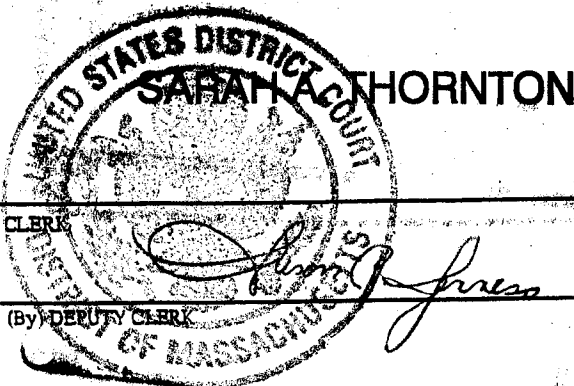
05 - 11037 RCL

TO: (Name and address of Defendant) Kevin J. Sheehan, Chairman and Chief Executive
Investors Financial Services Corp. Officer
200 Clarendon Street
Boston, MA

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David Pastor
GILMAN AND PASTOR, LLP
60 State Street
37th Floor
Boston, MA 02109

an answer to the complaint which is served on you with this summons, within 20 days after service
of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you
for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the
Clerk of this Court within a reasonable period of time after service.



DATE

8-15-05

Service of the Summons and complaint was made by me ⁽¹⁾	DATE
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STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL \$0.00
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DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

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Address of Server _____

Deputy Sheriff Daniel Murray

October 21, 2005
I hereby certify and return that on 10/14/2005 at 10:55AM I served a true and attested copy of the summons and complaint in this action in the following manner:
To wit, by delivering in hand to Andrew Josef, Deputy General Counsel, agent at the Investors Financial Services Corp, at 200 Clarendon Street, Boston, MA. U.S. District Court Fee (\$5.00), Basic Service Fee (IH) (\$30.00), Postage and Handling (\$1.00), Attest/Copies (\$5.00) Total Charges \$41.00

Deputy Sheriff



Suffolk County Sheriff's Department • 45 Bromfield Street • Boston, MA 02108 • (617) 989-6999
Suffolk, ss.

05023841

UNITED STATES DISTRICT COURT

District of Massachusetts

ROBERT FAUSSNER, Individually
and On Behalf of All Others
Similarly Situated

V.

INVESTORS FINANCIAL SERVICES CORP.,
KEVIN J. SHEEHAN, MICHAEL F. ROGERS,
JOHN N. SPINNEY JR., ROBERT D.
MANCUSO, EDMUND J. MARONEY

CASE NUMBER:

05-11687 RCL

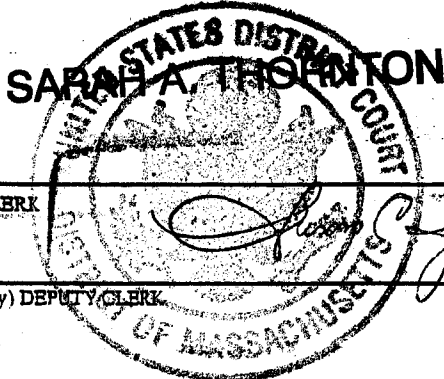
SUMMONS IN A CIVIL ACTION

TO: (Name and address of Defendant) Edmund J. Maroney, Senior Vice President of
Technology
Investors Financial Services Corp.
200 Clarendon Street
Boston, MA

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David Pastor
GILMAN AND PASTOR, LLP
60 State Street
37th Floor
Boston, MA 02109

an answer to the complaint which is served on you with this summons, within 20 days after service
of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you
for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the
Clerk of this Court within a reasonable period of time after service.



CLERK

(By) DEPUTY CLERK

DATE

8-15-05

AD 440 (Rev. 8/01) Summons in a Civil Action

RETURN OF SERVICE

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STATEMENT OF SERVICE FEES

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Address of Server

[Signature]
Deputy Sheriff

Deputy Sheriff Daniel Murray

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October 21, 2005

Suffolk, ss.



Suffolk County Sheriff's Department • 45 Bromfield Street • Boston, MA 02108 • (617) 989-6999

01223530

UNITED STATES DISTRICT COURT

District of Massachusetts

ROBERT FAUSSNER, Individually
and On Behalf of All Others
Similarly Situated

SUMMONS IN A CIVIL ACTION

V.

INVESTORS FINANCIAL SERVICES CORP.,
KEVIN J. SHEEHAN, MICHAEL F. ROGERS,
JOHN N. SPINNEY JR., ROBERT D.
MANCUSO, EDMUND J. MARONEY

CASE NUMBER:

05-11687 RCL

TO: (Name and address of Defendant) Robert D. Mancuso, Senior Vice President of
Marketing and Client Management
Investors Financial Services Corp.
200 Clarendon Street
Boston, MA

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David Pastor
GILMAN AND PASTOR, LLP
60 State Street
37th Floor
Boston, MA 02109

an answer to the complaint which is served on you with this summons, within 20 days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.



CLERK

(By) DEPUTY CLERK

DATE

8-15-05

RETURN OF SERVICE

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Name of person with whom the summons and complaint were left:
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STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL \$0.00
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DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____ Date _____ Signature of Server _____

Address of Server _____

Deputy Sheriff Daniel Murray

October 21, 2005
I hereby certify and return that on 10/14/2005 at 10:55AM I served a true and attested copy of the summons and complaint in the following manner:
To wit, by delivering in hand to Andrew Josef, Deputy General Counsel, agent at the time of service for Robert D. Mancuso, Senior Vice President, of Marketing & Client Management, 200 Clarendon Street, Investors Fin'l Services Corp., Boston, MA, U.S. District Court Fee (\$5.00), Basic Service Fee (IH) (\$30.00), Travel (\$1.00), Postage and Handling (\$1.00), Attest/Copies (\$5.00) Total Charges \$42.00

[Signature]
Deputy Sheriff

Suffolk, ss.

Suffolk County Sheriff's Department • 45 Bromfield Street • Boston, MA 02108 • (617) 989-6999



05023833